



ACCESS TO INFORMATION MANUAL (PRIVATE BODY)

PREPARED IN TERMS OF SECTION 51 OF THE
PROMOTION OF ACCESS TO
INFORMATION ACT 2 OF 2000

MV BROKERS (PTY) LTD
FSP: 11843



964 Swartbaars Street, Garsfontein X11 Pretoria, 0081



012 993 3542



admin@mvbrowsers.co.za



www.mvbrowsers.co.za

● Reg: 2017/187240/07 ● FSP: 11843 ● Directors: GE Van Rooyen ● JF Smit ● RD Malan ● HB Smith



1. PURPOSE OF THE MANUAL IN TERMS OF PAIA

The purpose of this Manual is to assist people wishing to access information in terms of the PAIA from **MV Brokers (Pty) Ltd** with FSP number **11843**.

2. REQUEST FOR ACCESS TO INFORMATION

In the event that a person or entity requires access to information as contemplated in the Act, the requester must contact RD Malan in terms of section 25(2) states that:

1. If the request for access is granted, the notice in terms of subsection (1)(b) must state—
 - a. the access fee (if any) to be paid upon access;
 - b. the form in which access will be given; and
 - c. that the requester may lodge an internal appeal or an application with a court, as the case may be, against the access fee to be paid or the form of access 15 granted, and the procedure (including the period) for lodging the internal appeal or application, as the case may be.
2. If the request for access is refused, the notice in terms of subsection (1)(b) must—
 - a. state adequate reasons for the refusal, including the provisions of this Act relied upon;
 - b. exclude, from such reasons, any reference to the content of the record; and
 - c. state that the requester may lodge an internal appeal or an application with a court, as the case may be, against the refusal of the request, and the procedure (including the period) for lodging the internal appeal or application, as the case may be.





BACKGROUND

MV Brokers (Pty) Ltd conducts business as a Long Term, Short Term, Investment & Health services brokerage. We are an Authorized Service Provider in terms of the Financial Advisory & Intermediary Service Act. Our FSP license number is 11843.

PHYSICAL & POSTAL ADDRESS

MV Brokers (Pty) Ltd
964 Swartbaars Street
Garsfontein X11
Pretoria
0081

CONTACT INFORMATION

Office: 012 993 3542
012 993 0652
012 998 3805
012 998 3436

DIRECTORS

GE Van Rooyen
JF Smit
RD Malan
HB Smith

SHAREHOLDERS

GE Van Rooyen (95%)
JM Van Rooyen (5%)

KEY INDIVIDUALS

GE Van Rooyen
JF Smit
RD Malan

INFORMATION OFFICER

RD Malan
Cell: 083 661 1693
E-mail: Riaan@mVBROKERS.CO.ZA



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SECTION 51 (1) (d)

Records (as amended) that are available in terms of other legislation are as follows:

1. Electronic Communications and Transactions Act 25 of 2002
2. Financial Advisory and Intermediary Services Act no 37 of 2002
3. Financial Intelligence Centre Act 38 of 2001
4. Income Tax Act 58 of 1962
5. Insurance Act 27 of 1943
6. Long Term Insurance Act 52 of 1998
7. Prevention of Organized Crime Act 121 of 1998
8. Short Term Insurance Act 53 of 1998
9. The Financial Intelligence Centre Act 38 of 2001
10. The National Credit Act 34 of 2005

SECTION 51 (1) (e)

The following records may be requested:

1. Advice Records
2. Customer Due Diligence documents
3. Accounting records
4. Personnel Records
5. Sales and Marketing
6. Statutory Company records
7. Client Databases
8. Internal Phone lists
9. Policies & Procedures
10. Minutes of Meetings
11. Administrative information

REQUESTING PROCEDURE

A person who wants access to the records must complete the necessary request form, available from the information officer, and the completed form must be sent to Riaan@mvpbrokers.co.za and marked for the attention of the information officer. The requester must indicate which form of access is required and identify the right that is sought to be exercised or protected and provide an explanation of which the requested record is required for the exercise or protection of that right. Proof of the capacity in which the requester is requesting the information should be attached.



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AVAILABILITY OF THE MANUAL

This manual is available on our website and for inspection by the general public upon request during office hours and there is no charge for viewing the manual at our offices. Copies of the manual may be made available subject to the prescribed fees.

FEES

A requester who seeks access to a record containing personal information about that requester is not required to pay the request fees. Any other requester who is not a personal requester must pay the required fee:

1. A fee will be required by the information officer before further processing of the request in terms of S54 of the Act
2. A requester fee of R50 should be paid, this amount will be refunded should the request for access be refused
3. A portion of the access fee (not more than one third) may be required before the request is considered
4. The requester may lodge an application with a court against the payment of the request fee in terms of S54(3)(b) of the Act
5. The head may withhold a record until the requester has paid the applicable fees

DETAILS OF THE SOUTH AFRICAN HUMAN RIGHTS COMMISSION

Any queries with regard to this manual should be directed to:

The South African Human Rights Commission
PAIA Unit
Research and Documentation Department

Private Bag 2700
Houghton
2041

Phone: 011 484 8300
Fax: 011 484 0582
Email: PAIA@sahrc.org.za
Website: www.sahrc.org.za



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